

**2008**

**Update on Part C 618 Data Collections**

**Kelly Worthington & Lisa Holden-Pitt  
OSEP/RTP**

**Lisa Pagano & Melanie Byrd  
OSEP/MSIP**

**Carol Bruce  
DAC/Westat**

**June 12, 2008**

# Overview

Tables 1 through 4 for the 2008-09 Part C Data Collections are not expected to undergo major revision requiring full OMB review.

Optional data forms and Data Transmission Sheets (DTS) will be made available for State IDEA collections that include report of race/ethnicity under the Department of Education's new Guidance (10/2007).

# Forms to be Submitted to OMB w/ Minor Revisions

- Alternate data forms will be available for reporting Child Count (Table 1), Settings (Table 2), and Exiting (Table 3), respectively, to accommodate States that have implemented the Department of ED's new (Oct., 2007) race/ethnicity reporting procedures.
- All forms now state that data must be complete and unsuppressed upon submission.
- Settings (Table 2) specifies that the total count and crosstabulations by age and race must match those reported in the Child Count (Table 1). It also clarifies procedures for identifying settings when only service coordination is provided.
- Some definitions refined in Dispute Resolution (Table 4).

# Key Features of Dept. of Education's Oct., 2007 Guidance regarding Race/Ethnicity Data

- Requirement to implement 'new guidance' no later than for the 2010-11 year
- Procedural distinction between *collection* (individual level) and *reporting* (aggregate data) of ethnicity and race
- Self (or parent/guardian) report of ethnicity and race
- Two-part *collection* format –
  - Hispanic/Latino ethnicity [Yes or No]
  - Race [select one or more, as applies]
- Re-identification of R/E is encouraged, not required.

# Changes in Race/Ethnicity Categories under Department's New Guidance (10/07)

- *Hispanic* is re-labeled *Hispanic/Latino*  
Can also use the term “Spanish origin,” and also includes Latinos who are not Hispanic.
- *Asian or Other Pacific Islander* is disaggregated into two separate race categories –
  - *Asian*
  - *Native Hawaiian or Other Pacific Islander*
- New aggregate reporting category –
  - *Two or more races*

# Reporting Hispanic/Latino Ethnicity

- Respondent first identifies whether s/he is of *Hispanic/Latino* ethnicity.
- Respondent then identifies with *one or more* of the *race* category options.
- Hispanic/Latino is an ethnic type – not a race category.
- Each one who identifies as Hispanic/Latino ethnicity should be reported as *Hispanic/Latino* in aggregate reporting of race/ethnicity, regardless of the the race group(s) s/he identified.

# Basic Minimum Two-Part Format for *Collecting* Ethnicity and Race Data

Ethnicity: Are you **Hispanic or Latino/Latina**?

- Yes
- No

Race: What is your **race**? (Select one or more.)

- American Indian or Alaska Native
- Black or African American
- Asian
- Native Hawaiian or Other Pacific Islander
- White

## If Two or More Races...

- Respondent is to identify in one or more of the five (minimum) race category options.
- Respondent who identifies more than one race category should, for aggregate reporting, be counted as *Two or more races* – UNLESS ethnicity is Hispanic/Latino, which ‘trumps’ any and all race designations.
- The aggregate reporting is an unduplicated count. Any given person is counted in only one of the seven *aggregate reporting* categories.

## Seven (minimum) Aggregate Race/Ethnicity Data *Reporting* Categories [new guidance]

- Hispanic/Latino
- American Indian or Alaska Native
- Black or African American
- Asian
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races

## **When Race/Ethnicity is Not Identified...**

- Students (or parent/guardian at the elementary and secondary levels) should be allowed to self-identify ethnicity and race, unless not practicable or feasible.
- If race/ethnicity information is not provided, the institution should ensure that the nonresponse is a refusal, rather than an oversight.
- If the information cannot be obtained through self-identification after having been afforded ample opportunity, the educational institution should provide the ethnicity and/or race information through observer identification.

# Additional Key Points Regarding the New Guidance

- Crosswalking or bridging methods may aid States in their reports of race/ethnicity data during the period of transition to the new guidance.
  - Due to new aggregation rules, no direct crosswalk of race/ethnicity from existing 5 categories to the new 7 categories; Individual responses collected under new guidance permit crosswalk to existing 5 categories.
- Ethnicity and race responses at individual level must be maintained a minimum three (3) years.
- Any, each, and all entities that report data to the Dept. of Education are covered by this guidance.

## Timeline for Implementing the 2007 Guidance for Reporting Race and Ethnicity to OSEP/ED

- States are required to be collecting and reporting race/ethnicity data in accordance with the new guidance no later than for the 2010-11 year.
- OSEP expects that States will implement these guidelines at the beginning of the relevant reporting period and will apply them consistently to ALL data reported within that period.
- States are to make the transition in race/ethnicity reporting for all of its IDEA data collections as a unit in given year.

# Closing Points

- Various details regarding implementation of the new guidance are still in the process of determination.
- Among U.S. Dept. of Education Offices, OSEP is on front end of positioning to receive race/ethnicity data reported according to the new guidance.
- Information provided in this presentation pertains to the collection, as well as reporting, procedures under the new guidance.

Final Guidance on Maintaining, Collecting and Reporting Racial and Ethnic Data to the U.S. Department of Education appears in vol. 72, #202 of the Federal Register which can be found at <http://www.gpoaccess.gov/nara/index.html>