

Feedback on APRs and State Determinations

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Updates

Status of revisions to information collections (Indicator/Measurement Table)

- Part B
- Part C

SPP/APR Response Letters and Determinations

Issued on June 6, 2008

Letter, with Determination, and Response Table

Looked at the State's APR as a whole

- Valid and reliable data
- Compliance, including timely correction and progress

Considered other information - special conditions, audit findings, verification information

Determinations: Valid and Reliable Data

Correct measurement

Correct year

Didn't consider B-20 or C-14 for data or compliance

Determinations: Substantial Compliance

Very high performance (95% or better) or
Timely correction of noncompliance

If not high performance or timely
correction, did the State show

- Progress in ensuring compliance over FFY 2005
- Subsequent full correction of noncompliance

Determinations Data

	Part B		Part C	
	2008	2007	2008	2007
Meets Requirements	14	9	22	15
Needs Assistance	35	41	29	26
(NA2)	25		17	
Needs Intervention	11	10	4	15

Indicators in Determinations: Not in Meets Requirements

Compliance

- Part B - Indicator 15 (58%), Indicator 11 (52%), Indicator 12 (40%), Indicator 13 (37%)
- Part C - Indicator 9 (59%), Indicator 1 (38%), Indicator 8C (36%), Indicator 7 (30%)

Data

- Part B - Indicator 10 (23%), Indicator 9 (17%), Indicator 11 (12%)

Part C Indicator Info

1 - Range 47.8% to 100%

- Progress from 83% in 2007 to 88% in 2008

7 - Range 56% to 100%

- Progress from 85% in 2007 to 89% in 2008

8C - Range from 68% to 100%

- Progress from 83% in 2007 to 87% in 2008

9 - Range from 3% to 100%

- Progress from 77% in 2007 to 80% in 2008

Part B Indicator Info

11 - Range from 21% to 100%

- Progress from 82% in 2007 to 84% to 2008

12 - Range from 30% to 100%

- Progress from 79% in 2007 to 84% in 2008

13 - Range from 3% to 100%

- Progress from 58% in 2007 to 64% in 2008

15 - Range from 19% to 100%

- Progress from 81% in 2007 to 86% in 2008

Enforcement Actions

Enforcement actions required based on June 6, 2008 determinations

- 25 States for Part B and 17 States for Part C in 2 consecutive years as “needs assistance” **NA2**
- No States in 3 consecutive years as “needs intervention”
- No States in “needs substantial intervention” this year

Accessing TA

- If you are NA2, the Secretary has informed you of available sources of technical assistance
- The SPP/APR Calendar includes “TA Related to Determinations”
- If you are NA2, you must report in the Feb 1, 2009 APR
 - The TA sources from which the State received assistance and
 - What actions the State took as a result of that TA

The Successes and Challenges: 2008

Successes

- The Basics – Much better, States provided the required information, etc.
- Data – Much better, correct measurement, correct year
- Compliance – More accurate data, more evidence of timely correction
- Improvement Activities – Many States revised and/or added

The Successes and Challenges: 2008

Challenges

- The Basics – Keep up the good work!
- Data – Reconciling database data with monitoring system data
- Compliance – Documenting timely correction, improving performance
- Improvement Activities – Purposeful, linked, sequenced, evidence-based

Improvement Activities: External TA Analysis Categories

Improve data collection and reporting

Improve systems administration and monitoring

Build systems and infrastructures of technical assistance and support

Provide technical assistance/training/
professional development

(Continued)

Clarify/examine/develop policies and procedures

Program development

Collaboration/coordination

Evaluation

Increase/adjust FTE

Reporting to the Public

- Part C must report on Indicators 1, 2, 4, 5, 6, 7, 8 (not 3 or 9-14)
- Part B must report on Indicators 1, 2, 3, 4A, 5, 8, 9, 10, 11, 12, and 13 (not 4B, 6, 7, 14, or 15-20)

State Determinations of Local Performance

MUST consider

- Performance on compliance indicators
- Valid, reliable, timely data
- Uncorrected noncompliance from other sources
- Audit findings

COULD consider

- Performance on outcomes indicators
- Other information

State Enforcement Based on Determinations

- This is the second year of State determinations of local performance
- You will likely have a number of local programs in “needs assistance” for the second consecutive year and will need to take enforcement action (see the statute and Part B regulations)
- If you have local programs that are in “needs substantial intervention” you will likely need to withhold funds in whole or in part (see the statute and Part B regulations)

OSEP TA to Support States

- Sessions today on general supervision, disproportionality and EC transition
- National Accountability Conference (NAC) - August 25 and 26 in Baltimore
- OSEP Leadership Conference for Part B - August 27 in Baltimore
- ECO Meeting - August 27 and 28 in Baltimore

OSEP TA to Support States

- SPP/APR Calendar
- TA Centers' analysis of 2008 SPP/APR submissions
- RRFC Network TA calls
- OSEP TA Network
- State contacts monthly calls
- Monthly SPP/APR TA calls

Thank you for your hard work!

- As you can see by the data, you're making a difference!