

TABLE 1
REPORT OF INFANTS AND TODDLERS RECEIVING
EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C

December 1, 2004

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1820-0557. The time required to complete this information collection is estimated to average 26 hours per State response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Special Education Programs, U.S. Department of Education, 600 Independence Avenue, SW, Washington, D.C. 20202-4651.

Instructions

Authorization: 20 U.S.C. 1418(a)(1) and 20 U.S.C. 1418(b)

Due Date: **February 1, 2005**

Sampling Allowed: Section A - No (total child count)
- Yes (counts by discrete age and race/ethnicity categories)
Section B - Yes
Section C - Yes

Send Form to: Stephanie S. Lee, Director
Office of Special Education
Part C Data Reports
Program Support Services Group
Mail stop 2600
550 12th Street, S.W.
Washington, D.C. 20202
Attn: Cheryl Broady

Instructions for Section A

1. Report the number of infants and toddlers from birth through age 2 (children who have not yet reached their third birthday) receiving early intervention services according to an individualized family service plan (IFSP) on December 1, 2004.
2. Children should be reported based on their age on December 1, 2004.
3. Include children in your State who are:
 - a. from birth through age 2 (children who have not yet reached their third birthday), *and*
 - b. experiencing developmental delays or have a diagnosed physical or mental condition which has a high probability of resulting in developmental delay, *and*
 - c. receiving early intervention services regardless of whether Part C monies are used to provide these services. Early intervention services are developmental services which:
 - (i) are provided in conformity with an IFSP;
 - (ii) are provided under public supervision (i.e., Federal, State, or local funds are used in connection with the provision of services). This includes children receiving services through a program funded by public monies as well as children receiving services from a private provider, but whose services are supervised or paid for by a public agency;
 - (iii) are provided at no cost except where Federal or State law provides for a system of payments by families, including a schedule of sliding fees;
 - (iv) are designed to meet the developmental needs of infants or toddlers with disabilities and the needs of the family;
 - (v) meet the standards of the State; and
 - (vi) are provided, to the maximum extent appropriate, in natural environments.

More detailed information about the definition of early intervention services can be found in 20 U.S.C. 1432 (4) and 34 CFR 303.12 and 303.13.

4. If your State has elected to serve children who are at risk of having substantial delays if early intervention services are not provided, *include* these children in the count for this section.

Instructions for Section B (TO BE COMPLETED BY STATES THAT SERVE AT-RISK INFANTS AND TODDLERS)

1. Report the number of infants and toddlers from birth through age 2 (children who have not yet reached their third birthday) who have been determined to be at risk of experiencing substantial developmental delays if early intervention services were not provided and are included in Table 1, Section A.
2. Children should be reported based on their age on December 1, 2004.

Specific Column Instructions (Sections A and B)

1. Data for each discrete age and for the age grouping birth through 2 are required.
2. Children less than 1 year of age should be counted in the “birth to 1” cell.
3. States that have discrete age data available must base the report on these actual data. States that do not have data for each discrete age are required to report actual data for the age grouping birth through 2 and to estimate data for discrete ages.

Specific Row Instructions (Sections A and B)

Race/Ethnicity Reporting

STATES MUST REPORT ON THE RACE/ETHNICITY OF THE CHILD, NOT THE FAMILY. FOR EACH OF THE RACE/ETHNICITY CATEGORIES, ENTER AN UNDUPLICATED COUNT OF ALL CHILDREN SERVED UNDER IDEA, PART C.

In November 1997, OMB announced its decision concerning the revision of Race and Ethnic Standards for Federal Statistics and Administrative Reporting. In that announcement, OMB reported that there would be five racial categories — American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White — and one ethnic category — Hispanic or Latino. Additionally, OMB announced that individuals would be allowed to select as many race/ethnicity categories as were applicable. Under the new reporting requirements a single, multi-racial category cannot be used. **OSEP is actively working with other offices within the Department of Education (ED) to determine what categories will be used for reporting aggregated data and anticipates that final decisions on reporting these data will be made soon. Until aggregate reporting categories are implemented, data should be reported using the five racial categories described below.**

The race/ethnicity categories are defined as follows:

American Indian or Alaska Native	A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.
Asian or Pacific Islander	A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This includes, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, Vietnam, Hawaii, Guam, and Samoa.
Black (not Hispanic)	A person having origins in any of the Black racial groups of Africa.
Hispanic	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
White (not Hispanic)	A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
Total	The unduplicated total across the race/ethnicity designations.

Note that children can only be reported in one race/ethnicity category.

Instructions for Section C

1. *This section REQUESTS optional data.*
2. Report the cumulative number of infants and toddlers receiving early intervention services (as defined above) during the most recent 12-month period for which data are available. For example, if data on the total number of infants and toddlers served during the period from January 1, 2004, through December 31, 2004, are available, report this count and the corresponding dates in Section C.

Sampling Guidelines

States may use sampling to obtain data from State and local service agencies on the counts of infants and toddlers served by discrete age and for counts of infants and toddlers by race/ethnicity. States may not sample to obtain counts of the total number of infants and toddlers receiving services. When sampling is used, a description of the sampling methodology outlining how the design will yield valid and reliable estimates must be submitted to OSEP for approval. The level of precision of the estimates to be obtained must be specified in this description. States *must* submit sampling plans to OSEP for approval by **September 1** of the reporting year.

OSEP will evaluate the validity of the sampling plan using the following guidelines:

1. The sampling framework may include all programs or service units or a sample of those programs or units. If a State chooses to sample, programs or service units for the most populous areas in the State must be included.
2. States that serve at-risk infants and toddlers must collect data separately for eligible infants and toddlers and at-risk infants and toddlers.

States that use sampling will provide OSEP with weighted rather than unweighted data. A description of the final sample sizes and the weights used must be provided at the time the data are submitted.

TABLE 1
 REPORT OF INFANTS AND TODDLERS RECEIVING
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 DECEMBER 1, 2004

STATE:

SECTION A

TOTAL NUMBER OF INFANTS AND TODDLERS RECEIVING EARLY INTERVENTION SERVICES				
	Total	AGE AS OF DECEMBER 1:		
		birth to 1 (0 to <12 months)	1 to 2 (≥12 and < 24 months)	2 to 3 (≥24 and <36 months)
TOTAL (ROWS 1-5)				
1. AMERICAN INDIAN OR ALASKA NATIVE				
2. ASIAN OR PACIFIC ISLANDER				
3. BLACK (Not Hispanic)				
4. HISPANIC				
5. WHITE (Not Hispanic)				

ORIGINAL SUBMISSION/REVISION (Circle one)
 CURRENT DATE: _____

TABLE 1
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 DECEMBER 1, 2004

STATE:

SECTION B (TO BE COMPLETED ONLY BY STATES THAT SERVE AT-RISK INFANTS AND TODDLERS)

NUMBER OF INFANTS AND TODDLERS IDENTIFIED AS AT-RISK (THESE INFANTS AND TODDLERS SHOULD BE INCLUDED IN COUNTS FOR SECTION A)				
	Total	AGE AS OF DECEMBER 1		
		birth to 1 (0 to <12 months)	1 to 2 (>12 and < 24 months)	2 to 3 (>24 and <36 months)
TOTAL (ROWS 1-5)				
1. AMERICAN INDIAN OR ALASKA NATIVE				
2. ASIAN OR PACIFIC ISLANDER				
3. BLACK (Not Hispanic)				
4. HISPANIC				
5. WHITE (Not Hispanic)				

SECTION C (OPTIONAL)

CUMULATIVE NUMBER OF INFANTS AND TODDLERS WHO RECEIVED EARLY INTERVENTION SERVICES FROM ____/____/____ TO ____/____/____ (SPECIFY EXACT DATES)	
NUMBER OF INFANTS AND TODDLERS	AGE: birth through 2

ORIGINAL SUBMISSION/REVISION (Circle one)
 CURRENT DATE: _____