

TABLE 1 – RE5 (5 race/ethnicity reporting categories as used prior to 2007 guidance)

REPORT OF CHILDREN RECEIVING  
EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C

Specific State-Designated Date Between October 1 and December 1 of 2009

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1820-0557. The time required to complete this information collection is estimated to average **32.8** hours per State response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Special Education Programs, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, D.C. 20202.

**All States must submit electronic versions of the completed data forms to OSEP’s data contractor at [IDEAData\\_PartC@westat.com](mailto:IDEAData_PartC@westat.com). OSEP will provide electronic Data Transmission Spreadsheets (DTS) in Microsoft Excel format to facilitate this process.**

Instructions

Authorization: 20 U.S.C. 1418(a)(1)(B), (a)(2), and (a)(3) and 1435(c)(3)

Due Date: February 1, **2010**

Sampling Allowed: **No**

General Instructions

1. Report the number of **eligible** children receiving early intervention services according to an **active** individualized family service plan (IFSP) in place on the count date.<sup>1</sup> This must be an unduplicated count **within each State**; each child is counted once and only once **within the State**.
2. All reported totals must equal the sum of the subsequent rows or columns. Report zeroes (0) where there are no children to report in a data cell.

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<sup>1</sup> Include children for whom the only early intervention services on the IFSP for which parental consent was provided are service coordination services or services provided to the family, such as counseling, family training, and home visits.

3. The count date is a state-designated date between October 1, **2009** and December 1, **2009** (inclusive), and that date should remain consistent each year.<sup>2</sup>
4. Include children in your State who are:
  - a. Under age three **and either (i) Experiencing developmental delays as defined by the State under 20 U.S.C. 1432(5)(A)(ii) and 1435(a)(1); or (ii) Diagnosed with a physical or mental condition which has a high probability of resulting in developmental delay under IDEA Section 632(5)(A)(i). Include these children in sections A, B, C, and D.**
  - b. **Under age three and at risk of having substantial delays if early intervention services are not provided, if your state has elected under 20 U.S.C. 1432(5)(B)(i) to serve such children. Include these children in sections A, B, and C, but not D.**
  - c. **Age three and older if your state has elected under 20 U.S.C. 1432(5)(B)(ii) and 1435(c) to provide parents the choice of, and the child's parent has consented to their child, continuing to receive Part C services (in lieu of FAPE) under 20 U.S.C. 1435(c). Include these children in sections A, B, and C, but not D.**
  - d. Receiving early intervention services regardless of whether federal IDEA Part C funds (including ARRA IDEA Part C funds), IDEA Part B funds, or other funds are used to provide these services.<sup>3</sup> Early intervention services are developmental services that:
    - (i) are provided in conformity with an IFSP;
    - (ii) are provided under public supervision (i.e., Federal, State, or local funds are used in connection with the provision of services). This includes children receiving services through a program funded by public monies as well as children receiving services from a private provider, but whose services are supervised or paid for by a public agency;
    - (iii) are provided at no cost except where Federal or State law provides for a system of payments by families, including a schedule of sliding fees;
    - (iv) are designed to meet the developmental needs of **an infant or toddler with a disability (as defined in 20 U.S.C. 1432(5))**;

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<sup>2</sup> OSEP recognizes that, rather than referencing a numerical date (such as November 1) for taking its Child Count each year, some States may have identified a specific day of the week in a given month each year (such as the last Friday of each October). In addition, some States may be unable to reference the same Child Count date if, in a given year, that date falls on a weekend. Therefore, it is acceptable for the actual date of the Child Count in any given year to slide within **six (6)** days of the State-established reference date without generating any error flags upon submission to the Federal data system.

<sup>3</sup> **Include children for whom the only early intervention services on the IFSP for which parental consent was provided are service coordination services or services provided to the family, such as counseling, family training, and home visits. Include all children for whom Part C personnel have been unable to provide early intervention services due to child or family circumstances, lack of response from the parent or family, or inability to contact or locate the family or child after repeated, documented attempts.**

- (v) meet the standards of the State **and the requirements of IDEA**; and
- (vi) are provided, to the maximum extent appropriate, in natural environments.

More detailed information about the definition of early intervention services can be found in 20 U.S.C. 1432(4) and in 34 CFR §§303.12 and 303.13.

5. **Do NOT include on this form children age 3 or older (for example, who are receiving FAPE using Part C funds under 20 U.S.C. 1438(3)) unless your state has elected under 20 U.S.C. 1432(5)(B)(ii) and 1435(c) to provide parents the choice of, and the child's parent has consented to their child, continuing to receive Part C services under 20 U.S.C. 1435(c).**
6. STATES SHOULD NOT PROVIDE PERCENTAGES IN SECTIONS A OR B, AS THEY WILL BE CALCULATED AFTER THE COUNTS ARE SUBMITTED.
7. In providing data for this collection, the State is to submit complete and unsuppressed data.

Instructions for Section A: Age and Race/Ethnicity

1. Enter the count date (a date between October 1, **2009** and December 1, **2009**, inclusive).
2. Report the (unduplicated) number of children **receiving early intervention services according to an** active IFSP in place on the count date, according to the child's age and race/ethnicity. This count should include:
  - a. In Section A.1, all infants and **toddlers with disabilities as defined in 20 U.S.C. 1432(5)(A) and (B)(i)**, ages birth through 2; and
  - b. In Section A.2, any children **with disabilities as defined in 20 U.S.C. 1432(5)(B)(ii)**, ages 3 or older, who **are continuing to receive early intervention services under 20 U.S.C. 1432(5)(B)(ii) and 1435(c)**. If your state does not offer parents the choice of continuing to receive Part C services **under 20 U.S.C. 1432(5)(B)(ii) and 1435(c)**, leave this section blank.
3. Report children according to their age on the count date. See instructions below for reporting race/ethnicity.
4. Report children less than 1 year of age in the "birth to 1" cell.
5. States must provide data for each discrete age and age grouping (birth through 2 and, if appropriate, 3 or older).
6. States that have discrete age data available must base this report on these actual data. States that do not have data for each discrete age are required to report actual data for the age groupings birth through 2 and, if appropriate, 3 or older.

Instructions for Section B: Gender

1. Report the (unduplicated) number of children **receiving early intervention services according to an active IFSP** in place on the count date, according to the child's gender. This count should include:
  - a. In Section B.1, all infants and toddlers **with disabilities as defined in 20 U.S.C. 1432(5)(A) and (B)(i)**, ages birth through 2; and
  - b. In Section B.2, any children, **with disabilities as defined in 20 U.S.C. 1432(5)(B)(ii)**, ages 3 or older, **who are continuing to receive early intervention services under 20 U.S.C. 1432(5)(B)(ii) and 1435(c)**. If your state does not offer parents the choice of continuing Part C services **under 20 U.S.C. 1432(5)(B)(ii) and 1435(c)**, leave this section blank.
2. The total number of children reported in Section B.1 should equal the total number of children reported in Section A.1. The total number of children reported in Section B.2 should equal the total number of children reported in Section A.2.

Instructions for Section C: At Risk

(TO BE COMPLETED ONLY BY STATES THAT SERVE AT-RISK INFANTS AND TODDLERS)

1. Report the (unduplicated) number of infants and toddlers, ages birth through 2, who were determined **under 20 U.S.C. 1432(5)(B)(i)** to be at risk of experiencing substantial developmental delays if early intervention services were not provided and who have an active IFSP in place on the count date. These infants and toddlers should also be reported in Sections A.1 and B.1.
2. Report at-risk children according to their age (**birth to 1, 1 to 2, or 2 to 3**) on the count date. See instructions below for reporting race/ethnicity.

Race/Ethnicity Instructions (Sections A and C)

STATES MUST REPORT THE RACE/ETHNICITY OF THE CHILD, NOT THE FAMILY. FOR EACH OF THE RACE/ETHNICITY CATEGORIES, REPORT AN UNDUPLICATED COUNT OF ALL CHILDREN SERVED UNDER IDEA, PART C.

In October 2007, the Department of Education published its Final Guidance on Maintaining, Collecting and Reporting Racial and Ethnic Data to the U.S. Department of Education in the Federal Register (Vol. 72, No. 202, available at <http://www.gpoaccess.gov/nara/index.html> ), referred to in these instructions as the *new guidance*. The procedures for collecting, aggregating, and reporting race and ethnicity, as described in the new guidance, must be implemented by **no later than the report of the 2010 Child Count data**. When implementing the *new guidance*, States must do so at the beginning of the relevant reporting period and must apply the guidance consistently to ALL data reported within that period.

To report Child Count under the five race/ethnicity categories, you must use this data collection form, Table 1 – RE5 (5 race/ethnicity reporting categories as used prior to 2007 guidance).

DO NOT USE THIS form (Table 1 – RE5) if you are reporting race/ethnicity according to the *new guidance*.<sup>4</sup>

States not yet fully prepared to implement the new race/ethnicity reporting guidance may continue to report race/ethnicity using the five (5) race/ethnicity categories as defined and applied prior to the new guidance, but must transition to procedures described in the new guidance no later than for report of the 2010 Child Count.

The race/ethnicity categories are defined as follows:

American Indian or Alaska Native	A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.
Asian or Other Pacific Islander	A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This includes, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, Vietnam, Hawaii, Guam, and Samoa.
Black (not Hispanic)	A person having origins in any of the Black racial groups of Africa.
Hispanic	A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.
White (not Hispanic)	A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
Total	The unduplicated total across the race/ethnicity designations.

Note that children can be reported in ONLY one race/ethnicity category.

#### Instructions for Section D: Cumulative Count

1. This section is OPTIONAL.
2. Report the cumulative number of infants and toddlers **with disabilities**, ages birth through 2, who received early intervention services (as defined above) during the most recent 12-month period for which data are available. For example, if data on the total number of infants and toddlers served during the period from July 1, **2008**, through June 30, **2009**, are available, report this count and the corresponding dates in Section D.

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<sup>4</sup> If your State is collecting race/ethnicity information in a manner that is NOT in full accordance with the new guidance (including the two-part question format for ascertaining and reporting Hispanic ethnicity), **you should report aggregate data through the 5-category format ( the ‘...-RE5’ version of the form for this collection) for the 2009-10 submission. States must fully implement the new guidance for all of the IDEA Part C collections associated with the 2010-11 reporting reference year. If you have not made or have partially made the transition, you should use the –RE5 version of the form for 2009-10, and apply** either of two options to account for students who are identified as multiracial or two or more races, as described in the document entitled, “Handling Missing Data When Reporting Race/Ethnicity” (<https://www.ideadata.org/docs/PartCRaceEthnicity.doc>).

3. Report the start and end dates of the 12-month period for which data are reported.
4. Do NOT include children ages 3 or older in this count.

TABLE 1  
 REPORT OF CHILDREN RECEIVING  
 EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C  
 CHILD COUNT DATE FOR 2009

SECTION A

COUNT DATE:	MONTH	DAY	YEAR
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A.1. AGE AND RACE/ETHNICITY OF INFANTS AND TODDLERS, AGES BIRTH THROUGH 2					
	Total	birth to 1	1 to 2	2 to 3	Percent*
TOTAL (ROWS 1-7)					100%
1. AMERICAN INDIAN OR ALASKA NATIVE					
2. ASIAN OR OTHER PACIFIC ISLANDER					
3. BLACK (NOT HISPANIC)					
4. HISPANIC					
5. WHITE (NOT HISPANIC)					
PERCENT*	100%				

A.2. AGE AND RACE/ETHNICITY OF INFANTS AND TODDLERS, AGES 3 OR OLDER					
	Total	3 to 4	4 to 5	5 or older	Percent*
TOTAL (ROWS 1-7)					100%
1. AMERICAN INDIAN OR ALASKA NATIVE					
2. ASIAN OR OTHER PACIFIC ISLANDER					
3. BLACK (NOT HISPANIC)					
4. HISPANIC					
5. WHITE (NOT HISPANIC)					
PERCENT*	100%				

\* STATES SHOULD NOT PROVIDE PERCENTAGES IN THIS SECTION. THESE WILL BE CALCULATED BY OSEP'S DATA TRANSMISSION SYSTEM.

ORIGINAL SUBMISSION/REVISION (Circle one)  
 CURRENT DATE: \_\_\_\_\_

TABLE 1 (CONTINUED)  
 REPORT OF CHILDREN RECEIVING  
 EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C  
 CHILD COUNT DATE FOR 2009

SECTION B

B.1. GENDER OF INFANTS AND TODDLERS, AGES BIRTH THROUGH 2, RECEIVING EARLY INTERVENTION SERVICES		
	Total	Percent*
TOTAL BIRTH THROUGH 2		100%
1. MALE		
2. FEMALE		

B.2. GENDER OF CHILDREN, AGES 3 OR OLDER, RECEIVING EARLY INTERVENTION SERVICES		
	Total	Percent*
TOTAL 3 OR OLDER		100%
1. MALE		
2. FEMALE		

\* STATES SHOULD NOT PROVIDE PERCENTAGES IN THIS SECTION. THESE WILL BE CALCULATED BY OSEP'S DATA TRANSMISSION SYSTEM.

TABLE 1 (CONTINUED)  
 REPORT OF CHILDREN RECEIVING  
 EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C  
 CHILD COUNT DATE FOR 2009

SECTION C  
 (TO BE COMPLETED ONLY BY STATES THAT SERVE AT-RISK INFANTS AND TODDLERS)

AT-RISK INFANTS AND TODDLERS, AGES BIRTH THROUGH 2 (THESE INFANTS AND TODDLERS SHOULD BE INCLUDED IN COUNTS FOR SECTIONS A.1 AND B.1)				
	Total	AGE AS OF CHILD COUNT DATE		
		birth to 1	1 to 2	2 to 3
TOTAL (ROWS 1-7)				
1. AMERICAN INDIAN OR ALASKA NATIVE				
2. ASIAN OR OTHER PACIFIC ISLANDER				
3. BLACK (NOT HISPANIC)				
4. HISPANIC				
5. WHITE (NOT HISPANIC)				

TABLE 1 (CONTINUED)  
REPORT OF CHILDREN RECEIVING  
EARLY INTERVENTION SERVICES IN ACCORDANCE WITH PART C  
CHILD COUNT DATE FOR **2009**

SECTION D  
(OPTIONAL)

CUMULATIVE NUMBER OF INFANTS AND TODDLERS WHO RECEIVED EARLY INTERVENTION SERVICES FROM ____/____/____ TO ____/____/____ (SPECIFY EXACT DATES)	
NUMBER OF INFANTS AND TODDLERS	AGE: Birth through 2 ONLY